

**Item 1 Cover Page**

A.

**Steven Vincent Oroho**

Stonebridge Capital Management

Brochure Supplement

Dated 3/31/2020

52 Forest Avenue  
Paramus, NJ 07652  
[www.sbcm.net](http://www.sbcm.net)

Contact: Karen DeMarco, Chief Compliance Officer

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**This Brochure Supplement provides information about Steven Vincent Oroho that supplements the Stonebridge Capital Management Brochure. You should have received a copy of that Brochure. Please contact Karen DeMarco, Chief Compliance Officer, if you did *not* receive Stonebridge Capital Management's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Steven Vincent Oroho is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Item 2 Education Background and Business Experience

Steven Vincent Oroho was born in 1958. Mr. Oroho graduated from St. Francis College in 1980, with a degree in Accounting. Mr. Oroho has been an investment adviser representative of Stonebridge Capital Management since January of 2005 and a registered representative of Cadaret, Grant & Co. since April of 2005. Mr. Oroho has been a New Jersey State Senator since January of 2008

Mr. Oroho has held the designation of Certified Financial Planner (CFP®) since January 2002. The CFP® designation identifies individuals who have completed the mandatory examination, education, experience, and ethics requirements mandated by the CFP Board. Candidates must have at least three years of qualifying work experience that relates to financial planning. Candidates are required to hold a bachelors degree from an accredited university. CFP® candidates must pass an examination that covers over 100 financial planning topics, which broadly include: general principles of financial planning, insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning. Finally, candidates have ongoing ethics requirements and oversight by the CFP Board.

## Item 3 Disciplinary Information

None.

## Item 4 Other Business Activities

- A. **Registered Representative of Cadaret, Grant & Co.** Mr. Oroho is a registered representative of *Cadaret, Grant & Co.* (“*Cadaret*”), an SEC Registered and FINRA member broker-dealer. Clients may choose to engage Mr. Oroho in his individual capacity as a registered representative of *Cadaret*, to implement investment recommendations on a commission basis.
1. **Conflict of Interest.** The recommendation by Mr. Oroho that a client purchase a securities commission product presents a ***conflict of interest***, as the receipt of commissions may provide an incentive to recommend investment products based on commissions to be received, rather than on a particular client’s need. No client is under any obligation to purchase any commission products from Mr. Oroho. Clients are reminded that they may purchase investment products recommended by Registrant through other, non-affiliated broker dealers. **The Registrant’s Chief Compliance Officer, Karen DeMarco, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**
  2. **Commissions.** In the event the client chooses to purchase investment products through *Cadaret*, brokerage commissions will be charged by *Cadaret* to effect securities transactions, a portion of which commissions shall be paid by *Cadaret* to Mr. Oroho. The brokerage commissions charged by *Cadaret* may be higher or lower than those charged by other broker-dealers. In addition, *Cadaret*, as well as Mr. Oroho, relative to commission mutual fund purchases, may also receive additional ongoing 12b-1 trailing commission compensation directly from the mutual fund company during the period that the client maintains the mutual fund investment. The securities commission business conducted by Mr. Oroho is separate and apart from Registrant’s investment management services discussed in the Registrant’s *Brochure*.

B. **Licensed Insurance Agent**. Mr. Oroho, in his individual capacity, is a licensed insurance agent, and may recommend the purchase of certain insurance-related products on a commission basis. Clients can engage Mr. Oroho to purchase insurance products on a commission basis. **Conflict of Interest**: The recommendation by Mr. Oroho that a client purchase an insurance commission product presents a *conflict of interest*, as the receipt of commissions may provide an incentive to recommend insurance products based on commissions to be received, rather than on a particular client's need. No client is under any obligation to purchase any insurance commission products from Mr. Oroho. Clients are reminded that they may purchase insurance products recommended by the Registrant through other, non-affiliated insurance agents. **The Registrant's Chief Compliance Officer, Karen DeMarco, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

**Member of New Jersey State Senate** Mr. Oroho has been an elected member of the New Jersey State Senate since January of 2008. While the Senate is in session, Mr. Oroho spends the majority of his work week involved with his legislative duties. **The Registrant's Chief Compliance Officer, Karen DeMarco, remains available to address any questions that a client or prospective client may have regarding the above conflict of interest.**

#### **Item 5 Additional Compensation**

None.

#### **Item 6 Supervision**

The Registrant provides investment advisory and supervisory services in accordance with the Registrant's policies and procedures manual. The primary purpose of the Registrant's Rule 206(4)-7 policies and procedures is to comply with the requirements of supervision requirements of Section 203(e)(6) of the Investment Advisor's Act ("*Act*"). The Registrant's Chief Compliance Officer, Karen DeMarco, is primarily responsible for the implementation of the Registrant's policies and procedures and overseeing the activities of the Registrant's supervised persons. Should an employee, independent contractor, investment adviser representative, or solicitor of the Registrant have any questions regarding the applicability/relevance of the *Act*, the Rules thereunder, any section thereof, or any section of the policies and procedures, he/she should address those questions with the Chief Compliance Officer. Should a client have any questions regarding the Registrant's supervision or compliance practices, please contact Karen DeMarco at (973) 244-9696.